



Webcast

### 20th Annual OSC, TSX and IIROC Update

#### *Business Law*

**Date:** Wednesday, September 28, 2022 | 11:00 am to 1:30 pm

**Location:** Webcast only

**Program Chairs:** **Amanda McLachlan**, Bennett Jones LLP  
**Kathleen E. Skerrett**, Partner, Gardiner Roberts LLP



This program is eligible for up to 2.5 Substantive Hours

Our popular annual program is back by demand. Join your colleagues to gain practical advice and updates on the ever-changing world of securities and regulations over the past year. Hear directly from senior representatives of the Ontario Securities Commission, the Toronto Stock Exchange and the Investment Industry Regulatory Organization of Canada about how another year of uncertainty affected Ontario's capital markets, and what long term consequences and changes can be expected.

Register now to ensure you are equipped to provide timely and accurate guidance to your clients or your company on the latest securities matters.

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| 11:00 am | Welcome and Opening Remarks from the Program Chair  |
| 11:05 am | <b>TSX/TSXV Update</b><br><b>Tim Babcock</b> , Vice President and Head, TSX Venture Exchange<br><b>Selma Thaver</b> , Director, TSX Listings  |
| 11:35 am | <b>IIROC Update – Early Resolution Offer Program</b><br><b>Andrew Werbowski</b> , Director, Enforcement Litigation  |
| 12:00 pm | <b>OSC - Enforcement Update – Overview of Recent Developments</b><br><b>Johanna Superina</b> , Deputy Director, Enforcement Branch, OSC<br><b>Alvin Qian</b> , Litigation Counsel, Enforcement Branch, OSC      |
| 12:25 pm | <b>OSC - Corporate Finance Update – NI 43-101 Consultation</b><br><b>James Whyte</b> , Senior Geologist, Corporate Finance Branch, OSC<br><b>Craig Waldie</b> , Senior Geologist, Corporate Finance Branch, OSC |
| 12:55 pm | <b>OSC – Mergers &amp; Acquisitions Update</b><br><b>David Mendicino</b> , Manager, Office of Mergers & Acquisitions, OSC   |
| 1:20 pm  | <b>Update on Capital Markets Modernization Taskforce and CCMR</b><br><b>Kathleen Skerrett</b> , Gardiner Roberts LLP  |
| 1:25 pm  | Questions and Closing Remarks   |
| 1:30 pm  | Program Concludes   |

**SPONSORED BY:**



**PROGRAM REGISTRATION IS ONLINE [www.oba.org/pd](http://www.oba.org/pd)**

Questions? [pd@oba.org](mailto:pd@oba.org)

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