



Webcast

### 19th Annual OSC, TSX and IIROC Update

#### *Business Law*

**Date:** Tuesday, June 8, 2021 | 8:30 am to 11:00 am

**Location:** Webcast only

**Program Chair:** **Kathleen E. Skerrett**, Partner, Gardiner Roberts LLP



This program is eligible for up to 2.5 Substantive Hours

Our popular program is back by demand. Join your colleagues to hear key insights into changes in securities laws and regulations over the past year. Senior representatives of the Ontario Securities Commission, the Toronto Stock Exchange and the Investment Industry Regulatory Organization of Canada will discuss how a year of uncertainty affected Ontario's capital markets, and what long term consequences and changes can be expected.

Register now to ensure you are equipped to provide timely and accurate guidance to your clients or your company on the latest securities matters.

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| 8:30 am  | Welcome and Opening Remarks from the Program Chair   |
| 8:35 am  | <b>TSX/TSXV Update</b><br><b>Chris Birkett</b> , Director, Listed Issuer Services, TSX<br><b>Tim Babcock</b> , Director, Listed Issuer Services, TSXV  |
| 9:05 am  | <b>IIROC Update – Role in Crypto Asset Regulation and Market Policy Projects</b><br><b>Sonali GuptaBhaya</b> , Director, Market Regulation Policy, IIROC   |
| 9:30 am  | <b>OSC - Enforcement Update – Overview of Recent Developments</b><br><b>Johanna Superina</b> , Deputy Director, Enforcement Branch, OSC<br><b>André Moniz</b> , Manager, Enforcement Branch, OSC                                   |
| 9:55 am  | <b>OSC - Corporate Finance Update – Overview of Recent Developments</b><br><b>Samreen Beg</b> , Senior Legal Counsel, Corporate Finance Branch, OSC<br><b>Roxane Gunning</b> , Senior Legal Counsel, Corporate Finance Branch, OSC |
| 10:25 am | <b>OSC – Mergers &amp; Acquisitions Update</b><br><b>David Mendicino</b> , Manager, Office of Mergers & Acquisitions, OSC  |
| 10:50 am | <b>Update on Capital Markets Modernization Taskforce and CCMR</b><br><b>Kathleen Skerrett</b> , Gardiner Roberts LLP   |
| 10:55 am | Questions and Closing Remarks  |
| 11:00 am | Program Concludes  |

Sponsored by:



**PROGRAM REGISTRATION IS ONLINE [www.oba.org/pd](http://www.oba.org/pd)**

Questions? [pd@oba.org](mailto:pd@oba.org)

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