



Webcast

### 18th Annual OSC, TSX and IIROC Update

#### *Business Law*

**Date:** Tuesday, June 2, 2020 | 8:30 am to 11:00 am

**Location:** Webcast only

**Program Chair:** Kathleen E. Skerrett, Partner, Gardiner Roberts LLP



This program is eligible for up to 2.5 Substantive Hours

Join your colleagues to gain practical advice and updates on the ever-changing world of securities and business law at this valuable annual program. You will hear directly from senior representatives of the Ontario Securities Commission, the Toronto Stock Exchange and the Investment Industry Regulatory Organization of Canada as well as the Ontario Taskforce to Review Capital Markets about new regulations, developments and policy initiatives.

Register now to ensure you are equipped to provide timely and accurate guidance to your clients or your company on the latest securities matters.

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| 8:30 am  | Welcome and Opening Remarks from the Program Chair   |
| 8:35 am  | <b>TSX/TSXV Update</b><br><b>Chris Birkett</b> , Director, Listed Issuer Services, TSX<br><b>Tim Babcock</b> , Director, Listed Issuer Services, TSXV  |
| 9:05 am  | <b>IIROC Update - Review of the IIROC Arbitration Program</b><br><b>Tatsiana Okun</b> , Senior Legal Counsel, General Counsel's Office, IIROC  |
| 9:25 am  | <b>OSC - Enforcement Update – Overview of Recent Developments</b><br><b>Johanna Superina</b> , Deputy Director, Enforcement Branch, OSC<br><b>André Moniz</b> , Manager, Enforcement Branch, OSC                         |
| 9:50 am  | <b>OSC - Corporate Finance Update – Overview of Recent Developments</b><br><b>Jessie Gill</b> , Legal Counsel, Corporate Finance Branch, OSC<br><b>David Surat</b> , Senior Legal Counsel, Corporate Finance Branch, OSC |
| 10:15 am | <b>OSC – Mergers &amp; Acquisitions Update</b><br><b>David Mendicino</b> , Senior Legal Counsel, Office of Mergers & Acquisitions, OSC   |
| 10:40 am | <b>Capital Markets Modernization Taskforce Introduction</b><br><b>Melissa Kennedy</b> , Taskforce Member, EVP and Chief Legal Officer, Sun Life  |
| 10:55 am | Questions and Closing Remarks  |
| 11:00 am | Program Concludes  |

**PROGRAM REGISTRATION IS ONLINE [www.oba.org/pd](http://www.oba.org/pd)**

Questions? [pd@oba.org](mailto:pd@oba.org)

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