

### 17th Annual OSC, TSX and IIROC Update

#### *Business Law*

**Date:** Monday, June 10, 2019 | 8:30 am to 11:00 am

**Location:** OBA Conference Centre  
20 Toronto Street, 2nd Floor, Toronto

**Program Chairs:** **Kathleen E. Skerrett**, Partner, Gardiner Roberts LLP  
**Janine C.C. Innes**, Barrister & Solicitor



Live



Webcast



This program is eligible for up to 2.5 Substantive Hours

Join your colleagues to gain practical advice and updates on the ever-changing world of securities and business law at this valuable annual program. You will hear directly from senior representatives of the Ontario Securities Commission, the Toronto Stock Exchange and the Investment Industry Regulatory Organization of Canada about new regulations, developments and policy initiatives.

Register now to ensure you are equipped to provide timely and accurate guidance to your clients or your company on the latest securities matters.

8:00 am	Registration and Coffee
8:30 am	Welcome and Opening Remarks from the Program Chairs
8:35 am	<b>TSX/TSXV Update</b> <b>Tim Babcock</b> , Director, Listed Issuer Services, TSXV <b>Selma Thaver</b> , Senior Manager, Listed Issuer Services, TSX
9:05 am	<b>IIROC Update – Crypto Assets and Client Identifiers</b> <b>Sonali GuptaBhaya</b> , Director, Market Regulation Policy- IIROC
9:25 am	<b>OSC - Enforcement Update</b> – Overview of Recent Developments <b>André J. Moniz</b> , Manager, Enforcement Branch, OSC
9:55 am	<b>OSC - Corporate Finance Update</b> <b>Leslie Milroy</b> , Senior Legal Counsel, Corporate Finance Branch, OSC <b>Julius Jn-Baptiste</b> , Legal Counsel, Corporate Finance Branch, OSC
10:25 am	<b>OSC – Mergers &amp; Acquisitions Update</b> <b>Adeline Lee</b> , Senior Legal Counsel, Office of Mergers & Acquisitions, OSC
10:50 am	Update on Corporate Capital Markets Regulatory System from Program Chairs
10:55 am	Questions and Closing Remarks
11:00 am	Program Concludes

**PROGRAM REGISTRATION IS ONLINE [www.oba.org/pd](http://www.oba.org/pd)**

**Questions?** [pd@oba.org](mailto:pd@oba.org)

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