

The Mutual Fund Dealers Association of Canada is the self-regulatory organization for mutual fund dealers in Canada. The mandate of the MFDA is to raise the standard of firm, fair and transparent regulation in Canada, for the protection of investors, through a commitment to collaboration, staff excellence and regulatory best practices.

Director, Investigations

As an experienced professional with a law degree the Director, Investigations is responsible for the management of the multi-discipline Investigations staff. The Director, Investigations is also responsible for the investigation of matters on behalf of the Mutual Fund Dealers Association of Canada pursuant to the MFDA's regulatory responsibility for the regulation of the business and financial conduct of its Members, their employees, and agents. The duties of this position require a high level of professionalism, self-discipline, integrity, and dedication in a work environment that is high-pressure and which requires confidentiality and discretion. The position also requires excellent judgement based on investigation, business, finance, and legal knowledge, knowledge of the capital markets, and knowledge of the mutual fund industry in general, and knowledge of industry regulations and standards of business conduct. The Director, Investigations reports to the Vice President, Enforcement.

Education: A Law degree, at least 5 years of investigation experience in regulatory enforcement or a similar environment. At least five 5 years experience with the operation of investment dealers and/or mutual fund dealers and the securities market, sales practices, financial compliance requirements, supervisory requirements, and the various rules/regulations applicable to the securities industry.

Please apply to:

Mutual Fund Dealers Association of Canada, www.mfda.ca
E-mail: humanresources@mfda.ca

All applications will be treated on a confidential basis

We thank all applicants; however only those candidates selected for interviews will be contacted.